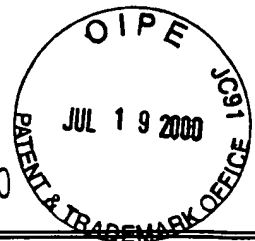


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SHEET 1 OF 8

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)

ATTY. DOCKET NO.  
114232.109

SERIAL NO.  
09/518,076

APPLICANT  
Leland SHAPIRO

FILING DATE  
March 3, 2000

GROUP  
1614

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
[Handwritten Initials]	AA	5,750,506	5/12/98	Goodfellow et al.	[Handwritten Class]	[Handwritten Subclass]	
	AB	5,635,593	6/3/97	Cheronis et al.			
	AC	5,663,416	9/2/97	Kirschenheuter et al.			
	AD	5,700,779	12/23/97	Goodfellow et al.			
	AE	5,801,148	9/1/98	Gyorkos et al.			
	AF	5,240,956	8/31/93	Kirschenheuter et al.			
	AG	5,216,022	6/1/93	Oleksyszyn et al.			
	AH	5,281,617	1/25/94	Kirschenheuter et al.			
	AI	5,314,910	5/24/94	Kirschenheuter et al.			
	AJ	5,214,191	5/25/93	Kirschenheuter et al.			
	AK	5,610,140	3/11/97	Goodfellow et al.			
	AL	5,416,191	5/16/95	Cheronis et al.			
	AM	5,618,792	4/8/97	Gyorkos et al.			
	AN	5,486,470	1/23/96	Darke et al.			
	AO	5,478,727	12/26/95	Roizman et al.			
	AP	5,807,829	9/15/98	Gyorkos et al.			
	AQ	5,811,241	9/22/98	Goodfellow et al.			
	AR	5,834,431	11/10/98	Stewart et al.			
	AS	5,843,900	12/1/98	Cheronis et al.			
	AT	5,849,863	12/15/98	Stewart et al.			
	AU	5,861,380	1/19/99	Gyorkos et al.			
	AV	5,863,899	1/26/99	Cheronis et al.			
	AW	5,869,455	2/9/99	Gyorkos et al.			
	AX	5,874,585	2/23/99	Gyorkos et al.			
	AY	4,788,603	11/29/88	Fujimura et al.			
	AZ	4,931,279	6/5/90	Bawa et al.			
	BA	4,668,504	5/26/87	Kahan et al.			
	BB	4,713,224	12/15/87	Tamhankar et al.			
BC	4,140,122	2/20/79	Kuhl et al.				
BD	4,383,529	5/17/83	Webster				
BE	4,051,842	10/4/977	Hazel et al.				

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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)</b>				ATTY. DOCKET NO. 114232.109		SERIAL NO. 09/518,076	
				APPLICANT Leland SHAPIRO			
				FILING DATE March 3, 2000		GROUP 1614	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS		PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	BF	5,532,215	7/2/96	Lezdey et al.			
	BG	4,829,052	5/9/89	Glover et al.			
	BH	5,157,019	10/23/92	Glover et al.			
	BI	5,420,110	5/30/95	Miller et al.			
	BJ	4,963,654	10/16/90	Katunuma			
	BK	5,891,852	4/6/99	Gyorkos et al.			
	BL	5,478,727	12/26/95	Roizman et al.			
	BM	5,801,148	9/1/148	Gyorkos et al.			
	BN	5,614,555	3/25/97	Nakai et al.			
	BO	5,622,984	4/22/97	Nakai et al.			
	BP	5,077,428	12/31/91	Imaki et al.			
	BQ	4,843,094	6/27/89	Imaki et al.			
	BR	5,004,612	4/2/91	Kim et al.			
	BS	4,283,418	8/11/81	Fuji et al.			
	BT	4,310,533	1/12/82	Uegai et al.			
	BU	4,224,342	9/23/80	Fujii et al.			
	BW	4,021,472	5/3/77	Fujii et al.			
	BX	5,432,178	7/11/95	Nakai et al.			
	BY	5,247,084	9/21/93	Imaki et al.			
	BZ	5,514,653	5/7/96	Perlmutter			
	CA	5,175,253	12/29/92	Fallon et al.			
	CB	5,747,645	6/6/98	Sprecher			
	CD	5,712,117	1/27/98	Sprecher			
	CE	5,710,026	1/20/98	Sprecher			
	CF	5,798,442	8/25/98	Gallant et al.			
	CG	5,874,424	2/23/99	Batchelor et al.			
	CH	5,759,548	6/2/98	Bathurst et al.			
	CI	5,376,655	12/27/94	Imaki et al.			
CJ	4,889,723	12/26/89	Kim et al.				
CK	5,110,602	5/5/92	Kim et al.				
CL	5,514,713	5/7/96	Nakai et al.				
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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)</b>					<b>TECH. CENTER 1500/2900</b> ATTY. DOCKET NO. 114232.109		SERIAL NO. 09/518,076	
					APPLICANT Leland SHAPIRO			
					FILING DATE March 3, 2000		GROUP 1614	
U.S. PATENT DOCUMENTS								
EXAMINER'S INITIALS		PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	CM	5,470,970	11/28/95	Saeger et al.	/	/		
	CN	4,629,567	12/16/86	Bollen et al.				
	CO	4,760,130	7/26/88	Thompson et al.				
	CP	5,616,693	4/1/97	Hwang et al.				
	CQ	5,610,285	3/11/97	Lebing et al.				
	CR	4,711,848	12/8/87	Insley et al.				
	CS	5,399,346	3/21/95	Anderson et al.				
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					APPLICANT Leland SHAPIRO			
					FILING DATE March 3, 2000		GROUP 1614	
FOREIGN PATENT DOCUMENTS								
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							Yes	No
✓	DR	WO 97/10222 --	3/20/97	PCT				
✓	DS	WO 97/09346 -	3/13/97	PCT				
✓	DT	WO 97/09347 -	3/13/97	PCT				
✓	DU	WO 98/06417 -	2/19/98	PCT				
✓	DV	WO 98/24806 >	6/11/98	PCT				
✓	DW	WO 98/46597 -	10/22/98	PCT				
✓	DX	WO 98/49190 -	11/5/98	PCT				
✓	DY	WO 97/21690 >	6/19/97	PCT				
✓	DZ	WO 98/23565 -	6/4/98	PCT				
✓	EA	WO 98/50342 -	11/12/98	PCT				
✓	EB	WO 98/50420 -	11/12/98	PCT				
✓	ED	WO 97/10231 -	3/20/97	PCT				
✓	EE	WO 97/03679 -	2/6/97	PCT				
✓	EF	WO 98/22619 >	5/28/98	PCT				
✓	EG	WO 98/21186 -	5/22/98	PCT				
✓	EH	WO 97/45402 -	12/4/97	PCT			Abstr.	
✓	EI	WO 98/56821 -	12/17/98	PCT				
✓	EJ	WO 98/22098 -	5/28/98	PCT				
✓	EK	WO 97/48706 -	12/24/97	PCT				
✓	EL	WO 97/33996 -	9/18/97	PCT				
✓	EM	WO 98/20034 -	5/14/98	PCT				
✓	EN	WO 98/46597 -	10/22/98	PCT				
✓	EO	WO 97/24339 -	7/10/97	PCT			Abstr.	
	EP	WO 97/37969	10/16/97	PCT			Abstr.	
✓	EQ	WO98/09206 >	3/5/98	PCT				
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		APPLICANT Leland SHAPIRO	
		FILING DATE March 3, 2000	GROUP 1614
OTHER ART. (Including Author, Title, Date, Pertinent Pages, Etc.)			
MA	EX	Flaitz CM et al., "Molecular piracy: the viral link to carcinogenesis", <i>Oral Oncol</i> <b>1998</b> , 34(6):448-53.	
	EY	DiIanni CL et al., "Identification of the herpes simplex virus-1 protease cleavage sites by direct sequence analysis of autoproteolytic cleavage products.", <i>J. Biol Chem</i> , <b>1993</b> , 268(3):2048-51.	
	EZ	DiIanni CL et al., "Identification of the serine residue at the active site of the herpes simplex virus type 1 protease", <i>J Biol Chem</i> , <b>1994</b> , 269(17):12672-6.	
	FA	Pellegrini A. et al. "Identification of an aprotinin antiviral domain", <i>FEBS Lett</i> , <b>1994</b> , 344(2-3):261-5.	
	FB	Bjorck L. et al., "Cystatin C, a human proteinase inhibitor, blocks replication of herpes simplex virus", <i>J Virol</i> , <b>1990</b> , 64(2):941-3.	
	FC	Aoki H. et al., "Antiviral effect of oryzacystatin, a proteinase inhibitor in rice, against herpes simplex virus type 1 in vitro and in vivo" <i>Antimicrob Agents Chemother</i> , <b>1995</b> , 39(4):846-9.	
	FD	Holwerda BC., "Herpesvirus proteases: targets for novel antiviral drugs", <i>Antiviral Res</i> , <b>1997</b> , 35(1):1-21.	
	FE	Szeghy G. et al., "On the therapy of herpes simplex keratitis with heparin and trypsin", [Article in German] <i>Klin Monatsbl Augenheilkd</i> , <b>1968</b> ;153(6):827-30.	
	FG	Zhirnov O.P. et al., "Antiviral activity of proteinase inhibitors in cultured cells infected with alpha-viruses", <i>Mol Gen Mikrobiol Virusol</i> , <b>1985</b> , (12):30-6.	
	FH	Bukrinskaia A.G., "Suppression of rotavirus SA-11 reproduction by protease inhibitors in cell culture", <i>Vopr Virusol</i> , <b>1987</b> , 32(1):71-4.	
	FI	Chesnokova N.B. et al., "Antiproteases in herpetic keratitis", <i>Metab Pediatr Syst Ophthalmol</i> , <b>1986</b> ;9(1):593-6.	
	FJ	Adelman S.F. et al., "Protease inhibitors suppress fibrinolytic activity of herpesvirus-transformed cells", <i>J Gen Virol</i> , <b>1982</b> , 60(Pt 1):15-24.	
	FK	Chesnokova N.B. et al., "Main proteolytic inhibitors in ocular herpes", <i>Vopr Med Khim</i> , <b>1981</b> , 27(5):663-5.	
	FL	Sharpstone D. et al., "Faecal alpha 1 antitrypsin as a marker of gastrointestinal disease in HIV antibody positive individuals", <i>Gut</i> , <b>1996</b> , 38(2):206-10.	
	FM	Cordiali Fei et al., "Behavior of several 'progression markers' during the HIV-Ab seroconversion period. Comparison with later stages", <b>1992</b> , <i>J Biol Regul Homeost Agents</i> , 6(2):57-64.	
	FN	Lima et al., "Mucosal injury and disruption of intestinal barrier function in HIV-infected individuals with and without diarrhea and cryptosporidiosis in northeast Brazil", <b>1997</b> , <i>Am J Gastroenterol</i> , 92(10):1861-6.	
	FO	Banfi et al., "Tumor-associated trypsin inhibitor in induced and acquired immunodeficiency. Studies on transplanted and HIV-infected patients", <b>1991</b> , <i>Scand J Clin Lab Invest</i> 51, Suppl, 207:55-8.	
	FP	Deam et al., "Alpha 1-antitrypsin phenotypes in homosexual men", <b>1989</b> , <i>Pathology</i> , 21(2):91-2.	
	FQ	Pezzilli et al., "Serum pancreatic enzymes in HIV-seropositive patients", <i>Dig Dis Sci</i> , <b>1992</b> , 37(2):286-8.	
	FR	Tang et al., "Inactivation of HIV-1 by trypsin and its use in demonstrating specific virus infection of cells", <b>1991</b> , <i>J Virol Methods</i> , 33(1-2):39-46.	
EXAMINER		DATE CONSIDERED	

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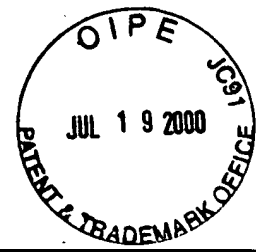
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)</b>		ATTY. DOCKET NO. 114232.109	SERIAL NO. 09/518,076
		APPLICANT Leland SHAPIRO	
		FILING DATE March 3, 2000	GROUP 1614
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
<input checked="" type="checkbox"/>	FQ	Glozman, "Immunologic foundation of enzyme therapy of patients with orchiepidymitis", 1990, <i>Antibiot. Khimioter</i> , 35(7):50-52.	
<input checked="" type="checkbox"/>	FR	Merrifield, R.B., "Solid Phase Peptide Synthesis. I. The Synthesis of a Tetrapeptide", 1963, <i>J. Am. Chem. Soc.</i> , (85):-2149.	
<input checked="" type="checkbox"/>	FS	Budavari, Susan (Editor), "The Merck Index", <i>An Encyclopedia of Chemicals, Drugs, and Biologicals</i> ; Merck & Co., Inc.	
<input checked="" type="checkbox"/>	FT	Premack, B.A. et al., "Chemokine Receptors: Gateways to Inflammation and Infection", 1996, <i>Nature Medicine</i> , 2:1174-1178.	
<input checked="" type="checkbox"/>	FU	Patel et al., "The Role of Protease During Apoptosis", 1996, <i>FASEB J</i> , 10(5):587-597.	
<input checked="" type="checkbox"/>	FV	Estaquier J., "Fas-mediated apoptosis of CD4+ and CD8+ T cells from human immunodeficiency virus-infected persons: differential in vitro preventive effect of cytokines and protease antagonists", 1996, <i>Blood</i> , 15:87(12):4959-66.	
<input checked="" type="checkbox"/>	FW	Shimizu T. et al., "DNA Fragmentation induced by Protease Activation in P53-null Human Leukemia HL60 Cells Undergoing Apoptosis Following Treatment with the Topoisomerase I Inhibitor Camptothecin: Cell-Free System Studies", 1996, <i>Exp Cell Res</i> , 1:226(2):292-301.	
<input checked="" type="checkbox"/>	FX	Glynn et al., "Apoptosis Induced by HIV Infection in H9 T Cells is Blocked by ICE-Family Protease Inhibition but not by a FAS(CD95) Antagonist", 1996, <i>J. Immunol</i> , 1: 157(7):2754-2758.	
<input checked="" type="checkbox"/>	FY	Rehman A., et al., "Scavenging of Hydroxyl Radicals but not of Peroxynitrite by Inhibitors and Substrates of Nitric Oxide Synthases", 1997, <i>Br. J. Pharmacol</i> , 122(8):1702-6.	
<input checked="" type="checkbox"/>	FZ	Pryor W.A. et al., "Mechanisms of Cigarette Smoke Toxicity: The Inactivation of Human Alpha-1-Proteinase Inhibitor by Nitric Oxide/Isoprene Mixtures in Air", 1985, <i>Chem Biol Interact</i> , 54(2): 171:183.	
<input checked="" type="checkbox"/>	GA	Van Molle, W. et al., "Alpha 1-Acid glycoprotein and alpha 1-antitrypsin inhibit TNF-induced but not anti-Fas-induced apoptosis of hepatocytes in mice", <i>J. Immunol</i> , 1997, 1:159(7):3555-3564.	
<input checked="" type="checkbox"/>	GB	Bratt, J. et al., "Cytokine-induced neutrophil-mediated injury of human endothelial cells", <i>J. Immunol.</i> , 1997, 15: 159(2):912-918.	
<input checked="" type="checkbox"/>	GC	Ooka, T., et al., "Protective effects of human urinary trypsin inhibitor against trypsin-induced relaxation in rat aorta", <i>Crit care Med</i> , 1996, 24(11): 1903-1907.	
<input checked="" type="checkbox"/>	GD	Novradovsky, A., et al., "Endothelial Nitric Oxide Synthase as a Potential Susceptibility Gene in the Pathogenesis of Emphysema in alpha 1-Antitrypsin Deficiency", 1999, <i>Am J. Respir Cell Mol Biol</i> , 1: 20(3):441-447.	
<input checked="" type="checkbox"/>	GE	Meki, AR, et al., "Serum-interleukin-1bet, interleukin-6, nitric oxide and alpha 1-antitrypsin in scorpion envenomed children", 1998, <i>Toxicon</i> , 36(12):1851-1859.	
<input checked="" type="checkbox"/>	GF	Griffin et al. "The Hydrophilic-Lipophilic Balance" 1954, <i>J. Soc. Cos. Met. Chem.</i> , 5: 249.	
<input checked="" type="checkbox"/>	GG	Scharpe et al., "Protease and their inhibitors: today and tomorrow", 1991, <i>Biochimie</i> , 73(1):121-126.	
<input checked="" type="checkbox"/>	GH	Kido et al., "A novel membrane-bound serine esterase in human T4+ lymphocytes immunologically reactive with antibody inhibiting syncytia induced by HIV-1. Purification and characterization", <i>J Biol Chem.</i> , 1990, 265(35):21979-85.	
<input checked="" type="checkbox"/>	GI	Brinkman et al., "Inhibition of tryptase TL2 from human T4+ lymphocytes and inhibition of HIV-1 replication of H9 cells by recombinant aprolin and bikunin homologues", 1997, <i>J. Protein Chem.</i> , 16(6):651-660.	
EXAMINER		DATE CONSIDERED	

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# INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)

ATTY. DOCKET NO.  
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SERIAL NO.  
09/518,076

APPLICANT  
Leland SHAPIRO

FILING DATE  
March 3, 2000

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## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	GJ	Auerswald et al., "K15R M52E) aprotinin is a weak Kunitz-type inhibitor of HIV-1 replication in H9 cells" <i>Biomed Biochim Acta</i> , 1991, 50(4-6):697-700.
	GK	Kamoshita et al., "Calcium requirement and inhibitor spectrum for intracellular HIV type 1 gp160 processing in cultured HeLa cells and CD4+ lymphocytes: similarity to those of viral envelope glycoprotein maturase", <i>J Biochem. Tokyo</i> , 1995, 117(6):1244-53.
	GL	Koito et al., "A neutralizing epitope of human immunodeficiency virus type 1 has homologous amino acid sequence with the active site of inter-alpha-trypsin inhibitor", 1989, <i>Int Immunol</i> , 1(6):613-8.
	GM	McNeely et al., "Secretory leukocyte protease inhibitor: a human saliva protein exhibiting anti-human immunodeficiency virus 1 activity in vitro", 1995, <i>J Clin Invest</i> , 96(1):456-64.
	GN	Hallenberger et al., "Inhibition of furin-mediated cleavage activation of HIV-1 glycoprotein gp160", 1992, <i>Nature</i> , 360(6402):358-61.
	GO	Vollenweider, et al., "Comparative cellular processing of the human immunodeficiency virus (HIV-1) envelope glycoprotein gp160 by the mammalian subtilisin/kexin-like convertases", 1996, <i>Biochem. J.</i> , 314 (Pt 2):521-32.
	GP	Anderson et al., "Inhibition of HIV-1 gp160-dependent membrane fusion by a furin-directed alpha 1-antitrypsin variant", 1993, <i>J Biol Chem</i> , 268(33):24887-91.
	GQ	Decroly, et al., "Identification of the paired basic convertases implicated in HIV gp160 processing based on in vitro assays and expression in CD4(+) cell lines", 1996, <i>J Biol Chem</i> , 271(48):30442-50.
	GR	Moulard, et al., "Kex2p: a model for cellular endoprotease processing human immunodeficiency virus type 1 envelope glycoprotein precursor", <i>Eur J Biochem</i> , 1994, 225(2):565-72.
	GS	Franzusoff, et al., "Biochemical and genetic definition of the cellular protease required for HIV-1 gp160 processing", 1995, <i>J Biol Chem</i> , 270(7):3154-9.
	GT	Avril, et al., "Identification of the U-937 membrane-associated proteinase interacting with the V3 loop of HIV-1 gp120 as cathepsin G", <i>FEBS Lett</i> , 1994, 345(1):81-6.
	GU	Harvima et al., "Separation and partial characterization of proteinases with substrate specificity for basic amino acids from human MOLT-4 T lymphocytes: identification of those inhibited by variable-loop-V3 peptides of HIV-1 (human immunodeficiency virus-1) envelope glycoprotein", 1993, <i>Biochem J</i> , 292 (Pt 3):711-8.
	GV	Bourinbaier, et al., "Acrosin inhibitor, 4'-acetamidophenyl 4-guanidinobenzoate, an experimental vaginal contraceptive with anti-HIV activity", <i>Contraception</i> , 1995, 51(5):319-22.
	GW	Bristow, et al., "Inhibition of HIV-1 by modification of a host membrane protease", 1995, <i>Int Immunol</i> , 7(2):239-49.
	GX	Bukrinskaia et al., "Inhibition of HIV reproduction in cultured cells using proteolysis inhibitors", 1989, <i>Vopr Virusol</i> , 34(1):53-5.
	GY	Avril et al., "Interaction between a membrane-associated serine proteinase of U-937 monocytes and peptides from the V3 loop of the human immunodeficiency virus type 1 (HIV-1) gp120 envelope glycoprotein", <i>FEBS Lett</i> , 1993, 317(1-2):167-72.
	GZ	Bourinbaier, et al., "Effect of serine protease inhibitor, N-alpha-tosyl-L-lysyl-chloromethyl ketone (TLCK), on cell-mediated and cell-free HIV-1 spread", <i>Cell Immunol</i> , 1994, 155(1):230-6.
	HA	Turpin et al., "Human immunodeficiency virus type-1 (HIV-1) replication is unaffected by human secretory leukocyte protease inhibitor", 1996, <i>Antiviral Res</i> , 29(2-3):269-77.
	HB	Kennedy et al., "Submandibular salivary proteases: lack of a role in anti-HIV activity", 1998, <i>J Dent Res</i> , 77(7):1515-9.

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